



SHORT COMMENTARY

Balancing Facial Muscle Forces in Surgical Procedures with Botulinum Toxin: A New Vision

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Abstract

Although the discovery of Botulinum Toxin is not recent, its application with functional and aesthetic purpose in the dental environment is considered new, and presents itself as an effective tool in treatments. One of the main highlights has been the assistance to pre-surgical preparations, as a way to improve the effectiveness of treatment, attenuating local muscle forces that may lead to a relapse or the failure of treatment. This topic is widely discussed, and suitable for all types of surgery: Periodontal, implant dentistry, temporomandibular joint, orthognathic and even fracture surgery of the stomatognathic complex. However, there is no well defined protocol about the muscles to be balanced, or the amount of drug to be applied. In view of this need, the purpose of this article is to propose some quantitative protocols for the application of Botulinum Toxin, and to describe the muscles that will have their biomodulated contractions according to their function in different surgical procedures.

Keywords

Botulinum toxin, Orofacial harmonization, Surgical procedures

Introduction

Botulinum toxin (BTX) has spread to the whole world through the wide spectrum of use in various areas of medicine and dentistry. Since its introduction in clinical use more than 30 years ago, BTX has become a versatile medicine and is widely used in cosmetic and

functional applications [1,2]. In view of the possible alternatives for its use it can be used in dentistry for the treatment of temporomandibular dysfunction, bruxism, tooth tightening, tension headaches, hypertrophy of the masseter, salorrhea, among other aesthetic functional treatments. The use of botulinum toxin type A (BoNT/A) on cosmetic facial procedures is growing, and presents excellent results. However little has been described about its use in surgical planning of facial trauma, implants and orthognathic surgery [3]. This way, this article aims to describe about the important role of the toxin in these procedures.

Botulinum Toxin

Mechanisms of action: BTX is a protein produced by a gram-positive anaerobic bacterium called *C. Botulinum* [4], classified into seven types (A, B, C, D, E, F and G) [5]. It acts through muscle relaxation by inhibiting the release of acetylcholine at the neuromuscular junction. This process involves three stages: Initially, I) The toxin binds to the cholinergic nervous terminal, then occurs the; II) Internalization/translocation of the membrane, and finally and III) Calcium-dependent inhibition of the neurotransmitter release.

The process involves three steps, and is well described in literature: I) Bonding: BoNT-A binds to a high



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Table 1: Description of the head and neck muscles, and strategies for application of botulinum toxin (Botox - Medytox - South Korea) in pre and post-surgery.

Muscle Name	ORIGIN	INSERTION	Action	Facial Expression	Quantity	Depth
M. Nasal	Jaw (canine eminence)	Wing of the nose and lower portion of the back	Dilates and compresses the nose	Enoyed	2U Central 1U Lateral	3 A 4 mm Perpendicular 1 A 2 mm Parallel
M. Nasal septum depressor	Alveolar eminence of the central incisor	Nasal septum	Movement of the nostrils	Nose movement when talking	2 a 3 U	3 A 5 mm (45 degrees)
M. Orbicular of the mouth	"Bone: anterior midline of maxilla and mandible Muscle: angle of the mouth where fibers blend with other muscles"	Upper and lower lip/Skin along the mouth	Brings lips closer	Kiss	1U per point bilateral (4 a 6 points)	1 mm
M. Mouth angle lifter	Maxilla and zygomatic bone above the foramen IO	Angle of mouth	Elevate the angle of the mouth	Strong smile-mouth aisle exposure	2 a 5 U	4 a 5 mm
M. Upper lip lifter	Maxilla and zygomatic bone above the foramen IO	Upper lip	Raiser and evert the upper lip	strong smile	2 a 4 U	3 a 4 mm
M. Upper lip and nose wing lifter	Maxilla (front process)	Nose wing and upper lip	Elevate and evert the upper lip and dilate the nostril	Smile strong and disgusted	2 a 4 U	3 a 4 mm
M. Lower lip	Jaw base	Lower lip	Traces lower lip infero-laterally	When raising the lower lip it stretches the lower lip	2 U	4 mm
M. Mouth angle depressor	Mandible	Angle of mouth	Depresses the corner of the mouth	When raising the lower lip-it stretches the lower lip loweing muscle	2 U	4 mm
M. Mental	Incisive jaw bone	Mint skin	Raises and protracts the lower lip	Raise lower lip-Duck beak	2 a 3 U	4 a 5 mm
M. Platysma	Sternum-clavicular region	Jaw base	Spread and wrinkles the skin of the neck	Lift and lower your neck	1 U per point bilateral (20 a 30 points)	1 mm
M. Masseter	Zygomatic arch	Lateral side of the branch and base of the mandible	Jaw lift	Occlusion and contact of posterior teeth	9 a 25 U	10 a 13 mm
M. Temporal	Temporal pit/Sphenoid tube	Coronoid process/Mandibular temporal crest	Jaw lifting and retraction	Occlusion and contact of posterior teeth	60% (anterior 0 and 40% (posterior) of the masseter	8 a 13 mm
M. Medial pterygoid	Pterygoid process	Medial side of the branch and mandibular annulus	Elevate, protract and lateral jaw movement	Opening, closing and laterality	5 U	5 a 8 mm
M. Lateral pterygoid	Pterygoid process	Articular and pterygoid disc	Depress and protract the jaw/disc and lateral movement	Opening, closing and laterality	2 a 3 U	Direct muscular or arthroscopic route

affinity receptor (SNAP-25) predominantly found in the cholinergic neurons of the motor nerves through the binding domain of the heavy chain; II) Internalization/translocation: Once the BoNT/A connects to the neuronal cell, the internalization process presumably intermediated by an endocytosis receptor begins; III) Neurotransmitter exocytosis: Inhibition of neurotransmitter exocytosis (acetylcholine) occurs through a light chain-dependent zinc proteolytic activity, which selectively breaks the peptide bonds essential for neurotransmitter release. From this fomentation, it occurs the blockage of the acetylcholine vesicles with the cellular membrane of the nervous terminal [6].

In clinical terms, BTX induces prolonged blocking of nerve transmission by binding to pre-synaptic neurons in the first hour of action, specifically with subsequent muscle relaxation. Recovery occurs in two distinct phases, where initially the accessory terminals arise from the axon with the pre-synaptic terminal damaged and act to stimulate the muscles. After approximately 28 days, the main terminal slowly begins to recover its ability to release neurotransmitters, probably through the synthesis of new SNAP-25 receptors, with the disappearance of the accessory terminals. After just over 90 days, a period that correlates well with the duration of the clinically observed effect, recovery is essentially complete [5,6].

Diagnosis of Facial Muscle Pattern

Patients can be classified into three groups, based on their facial muscle contractions and severity of expression mark prior to treatment.

Kinetic

Kinetic patients are those with regular muscle contraction and wrinkles during active expression, but not at rest. Generally these patients are not a concern in

planning the balance of muscle forces.

Hyperkinetics

Hyperkinetic patients have too much muscle contraction, where repeated contractions are associated with the formation of horizontal wrinkles on the overlying skin, when they persist even at rest or when they occur markedly during facial expression generally interpreted as a sign of aging.

The evaluation of these patients must be careful, especially in case of Implantology and periodontics, thus avoiding risks of suture dehiscence and gingival retraction, especially in the mental region.

Hypertonic

Hypertonic patients are those with inability to relax specific muscles related to muscle tension.

Such distinct anatomical characteristics and varied spectra determine contraction patterns of muscles peculiar to each person. This knowledge is essential for choosing the most appropriate application points, providing each patient with an individualized approach [7,8].

In the preoperative patient evaluation, the definition of these profiles determines the type of injection, number of points and botulinum toxin units per point to be used (Table 1) [8]. Previous treatment with botulinum toxin provides the balance of hyperfunction mainly in patients with hypertonic pattern. Thus, when submitted to orthognathic surgery, fracture reduction surgery or atm surgery, among other reconstructive aesthetic surgical procedures, these patients may present minor problems such as: dehiscence of suture in the region of surgical accesses, loss of rigid internal fixation system, formation of pseudoarthrosis, minimizing gingival retraction in the region of the chin or areas of brake insertions mainly for implantology/periodontics [4].

Table 2: Guide for planning the muscles to be treated with botulinum toxin in surgical procedures.

Muscle Name	ATM	Orthognathic	Implant	Reconstructive aesthetic
M. Nasal	-	-	-	YES
M. Nasal septum depressor	-	YES	-	YES
M. Orbicular of the mouth	-	-	-	YES
M. Mouth angle lifter	-	-	YES	YES
M. Upper lip lifter	-	-	YES	YES
M. Upper lip and nose wing lifter	-	-	YES	YES
M. Lower lip	-	-	YES	YES
M. Mouth angle depressor	-	-	YES	YES
M. Mental	-	YES	YES	YES
M. Platisma	-	-	-	YES
M. Masseter	YES	YES	YES	YES
M. Temporal	YES	YES	YES	YES
M. Medial pterygoid	YES	YES	YES	YES
M. Lateral pterygoid	YES	YES	YES	YES

The Use of the Toxin in Various Surgical Procedures

As a way to simplify the protocols currently used for the use of botulinum toxin (Botulift - Medytox - South Korea) in facial muscles, we describe in tabular form surgical procedures, the muscles involved and the application of botulinum toxin in different treatments. However, it should be noted that the use of botulinum toxin in the muscles of facial mimicry is not recommended or when to use cautious post-surgical analysis, because its use in conjunction with surgical procedures can hinder the evolution and evaluation of the postoperative period, and may mask possible lesions in different nerve branches (Table 2) [7,9].

Discussion

Much is known about the use of botulinum toxin in the field of orofacial harmonization for aesthetic purposes, but little is discussed about its use to achieve the balance of muscle forces that directly interfere in the treatment and prognosis of some facial procedures.

Orthognathic surgery is a procedure widely spread throughout the world, whose function is not only to improve facial aesthetics and functional occlusion, but also to achieve the recovery of the stomatognathic system by balancing the forces of the facial muscles [10]. Postoperative stability is an important point of discussion during surgical planning [11]. In 1982 Epker and Wessmberg reported the mechanisms of recurrence in orthognathic surgery as an interaction between surgical movement, condylar positioning and tension in paramandibular soft tissues [12,13]. Although myotomy has been described in the literature, it is presented with an invasive and uncomfortable technique, besides presenting risks to the patient [13]. The skeletal and neuromuscular changes that occur after the corrective procedure generate new incidences of forces, leading to interferences in the optimization, precision and predictability of treatment. In view of the fact that the performance of unbalanced muscular loads in the postoperative period may generate fractures of the synthesis material, propitiate deficiencies in the fixation and bone repair, as well as relapses and the need for new intervention. Among the clinical management options, botulinum toxin has proven effective in controlling post-surgical recurrence [11,14].

The main muscles involved in mandibular mobility are: Masseter; temporal; medial and lateral pterygoid, which perform the movements of elevation, protrusion and lowering of the mandible [15]. In the literature several authors discuss that the force discrepancy of the neuromuscular system can generate and accentuate the intensity of malocclusions [16], as well as asymmetries can lead to imbalances in the masticatory forces. Altered oral rehabilitation causes neuromuscular discomfort, muscular hypertrophy and facial alteration; fur-

thermore, it is estimated that this process takes around 90 days to rebalance the new oral condition. The same happens with surgical interventions for rehabilitation with multiple implants or implants of immediate load, as well as in cases of grafts. Studies suggest that the excess of muscle strength in the initial moments interfere with osseointegration and tissue repair. According to Branemark the load control conditions on the implants is one of the main factors for the success of osseointegration, being nowadays the most worrying, because it is directly related to major complications and loss of implants [3,17].

In a similar way it happens in face traumas where several bone fixation points are necessary to prevent the mobility of the fractures by the intervention of muscular forces and thus prevent interference in bone repair. Thus, the use of botulinum toxin in facial traumatology can decrease the amount of bone synthesis material used. At the end of the treatment, it is essential that the muscles involved are relaxed and painless. If there is fatigue or muscle spasm in excess, the muscle fibers recruit more motor units to maintain the same tone of function, which generates overload, fatigue and local or referred pain [16,17].

Thus, the infiltration of botulinum toxin two weeks before these interventions causes a homeostasis in which muscle forces are controlled pre-surgery and a more predictable postoperative with less muscle interference. It is of utmost importance to know the anatomy of the face and the muscles that interfere in each procedure, such as the correct administration of the toxin, its active principle and the safe dosage protocol recommended for each case, being this a strong ally in elective surgical planning [11].

Finally, there is great evidence of the benefits of botulinum toxin in hemifacial paralysis treatments. There are different surgical procedures that cause both transient and definitive paralysis as undesirable effects. The treatment with the botulinum toxin promotes a balance with the reduction of tonus on the normal side, and thus provides a balance with the paralyzed side. This treatment aims not only at aesthetic improvement, but also at functional improvement of the paralyzed side over time and of the treatments consecrated in the literature [18].

Conclusion

Botulinum toxin has been demonstrating beneficial effects in several areas of dentistry, where these professionals must demonstrate technical and scientific knowledge about head and neck structures. In view of the discussion proposed in this article, it is possible to consider botulinum toxin as an effective, safe and viable alternative for the prevention of some post-operative complications in surgically treated patients, in view of individual therapeutic planning for each case. Thus, it is

possible to conclude that botulinum toxin contributes to the greater predictability of surgical planning, as well as adds values to the obited results, thus offering improvements in the quality of life of patients and greater ease for the surgeon.

Conflict of Interest Statement

The authors declare that they have no conflict of interest.

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